



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/625,787	07/26/2000	James A. Schwindeman	208-6152

000826  
ALSTON & BIRD LLP  
P O DRAWER 34009  
CHARLOTTE, NC 28234-4009



## FORMALITIES LETTER



\*OC00000005397369\*

Date Mailed: 09/14/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit ~~\$ 650~~ <sup>710</sup> to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1494.
  - \$1260 for 70 total claims over 20.
  - ~~\$234~~ <sup>240</sup> for 3 independent claims over 3.
- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2314.

A copy of this notice **MUST** be returned with the reply.

11/20/2000 GTEFFERA 00000022 09625787

01 FC:101  
02 FC:105  
03 FC:103  
04 FC:102

710.00 09  
130.00 09  
1260.00 09  
240.00 09

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Schwindeman et al.  
Appl No.: 09/625,787  
Filed: July 26, 2000  
For: CHAIN EXTENDED FUNCTIONALIZED INITIATORS  
AND METHODS OF PREPARING AND USING THE SAME



November 14, 2000

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application dated September 14, 2000,  
enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been  
executed by the named inventor(s)
- ☐ Applicant claims small entity status
- ☐ Executed Statement Claiming Small Entity Status
- ☒ Check in the amount of \$2,340.00 to cover the filing fee of \$2,210.00 and the \$130.00  
surcharge under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☐ Other:

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

*Melissa B. Pendleton*

Melissa B. Pendleton  
Registration No. 35,459

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in  
an envelope addressed to: **Box Missing Parts**, Assistant Commissioner For Patents, Washington, DC 20231, on  
November 14, 2000.

*Grace R. Rippey*  
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Grace R. Rippey